

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Kazuo UEMATSU et al

Serial No. 10/657,175

Filed September 9, 2003



: Attn: ISSUE BRANCH

: Confirmation No. 1638

: Group Art Unit 3746

: Examiner Louis J. Casaregola

COMBINED CYCLE GAS TURBINE  
SYSTEM

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**REQUEST FOR CONSIDERATION OF PREVIOUSLY  
SUBMITTED INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

An Information Disclosure Statement was submitted October 27, 2003 including a number of references cited on a form PTO-1449. The Examiner returned the form PTO-1449 along with a Notice of Allowability on March 18, 2004. However, the Examiner only initialed some of the references, and not all of the references.

It is noted that the Examiner indicated that copies had not been received of some of the references. However, all of the references were submitted. Attached hereto is a copy of the original form PTO-1449, the submitted references, and the postcard receipt indicating that the references were in fact submitted.

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Kazuo UEMATSU et al.

Serial No. 10/657,175

Filed September 9, 2003



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**Attn: APPLICATION BRANCH**

**Attorney Docket No. 2003-1287**

**COMBINED CYCLE GAS TURBINE  
SYSTEM**

### **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of ☒ the references listed on attached form PTO-1449 and/or ☐ the additional information identified below in paragraph 3. A legible copy of each reference listed on the form PTO-1449 and each U.S. patent application listed below is enclosed, except a copy is not provided for each reference previously cited by or submitted to the Patent Office in prior parent application Serial No.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, **or**

before the mailing date of the first Office Action on the merits,

**and thus no certification and/or fee is required.**

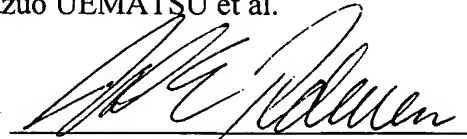
1b. ☐ This Information Disclosure Statement is submitted

Accordingly, the Examiner is respectfully requested to immediately consider and make of record the two European references that were lined out on the 1449 form returned March 18, 2004.

Respectfully submitted,

Kazuo UEMATSU et al.

By



Nils E. Pedersen

Registration No. 33,145

Attorney for Applicants

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March 26, 2004

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

- (1) ☐ the certification of paragraph 2 below is provided, **or**
- (2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and  
the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

- a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:

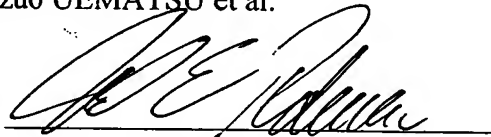
- a. ☐ a full or partial English language translation submitted herewith,

- b. ☒ a foreign patent office search report (in the English language) submitted herewith,
- c. ☐ the concise explanation contained in the specification of the present application at page ,
- d. ☒ the concise explanation set forth in the attached English language abstract,
- e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
5. ☒ A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Kazuo UEMATSU et al.

By



Nils E. Pedersen

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October 27, 2003

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)

Date Submitted to PTO: October 27, 2003

ATTY DOCKET NO.  
2003-1287SERIAL NO.  
10/657,175APPLICANT  
Kazuo UEMATSU et al.FILING DATE  
September 9, 2003GROUP  
3746

## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL |    | DOCUMENT<br>NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF<br>APPROPRIATE |
|----------------------|----|--------------------|------|------|-------|----------|-------------------------------|
|                      | AA |                    |      |      |       |          |                               |
|                      | AB |                    |      |      |       |          |                               |
|                      | AC |                    |      |      |       |          |                               |
|                      | AD |                    |      |      |       |          |                               |
|                      | AE |                    |      |      |       |          |                               |
|                      | AF |                    |      |      |       |          |                               |
|                      | AG |                    |      |      |       |          |                               |
|                      | AH |                    |      |      |       |          |                               |
|                      | AI |                    |      |      |       |          |                               |

## FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT<br>NUMBER | DATE   | COUNTRY | CLASS | SUBCLASS | TRANSLATION<br>YES NO |  |
|--|----|--------------------|--------|---------|-------|----------|-----------------------|--|
|  | AJ | 0911504            | 4/1999 | EP      |       |          |                       |  |
|  | AK | 0939201            | 9/1999 | EP      |       |          |                       |  |
|  | AL |                    |        |         |       |          |                       |  |
|  | AM |                    |        |         |       |          |                       |  |
|  | AN |                    |        |         |       |          |                       |  |

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

|    |  |
|----|--|
| AO | PATENT ABSTRACTS OF JAPAN, vol. 1999, no. 09, July 20, 1999 & JP 11 093687 A (MITSUBISHI HEAVY IND LTD), April 6, 1999.      |
| AP | PATENT ABSTRACTS OF JAPAN, vol. 1999, no. 12, October 29, 1999 & JP 11 200889 A (MITSUBISHI HEAVY IND LTD) July 27, 1999.    |
| AQ | PATENT ABSTRACTS OF JAPAN, vol. 1999, no. 03, March 31, 1999 & JP 10 339109 A (MITSUBISHI HEAVY IND LTD), December 22, 1998. |

EXAMINER

DATE CONSIDERED

# COPY

ATTY DOCKET #: 2003-1287

Due Date:

OUR REF: 2003-1287/NEP/00115

Applicant: Kazuo UEMATSU et al.

Serial No.: 10/657,175

Filing Date: September 9, 2003

Title: COMBINED CYCLE GAS TURBINE SYSTEM

**Receipt of the following papers is acknowledged:**

1. Information Disclosure Statement w/PTO-1449, European Search Report and 5 refs.



Date: October 27, 2003

Attorney: NEP/krg

[Check No. N/A]